

FORMALITIES LETTER



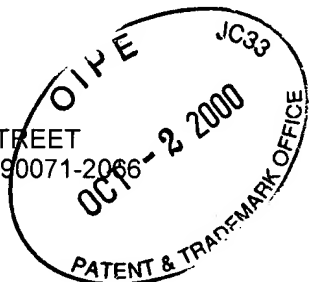
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/574,395	05/19/2000	Cook	252/109

22249
LYON & LYON LLP
SUITE 4700
633 WEST FIFTH STREET
LOS ANGELES, CA 90071-2066



Date Mailed: 07/25/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$54.
■ \$54 for 3 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 874.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/05/2000 EHAMDND 00000029 09574395

01 FC:101
02 FC:103
03 FC:105

690.00 OP
54.00 OP
130.00 OP

Adjustment date: 10/12/2000 NYUSUF1
10/05/2000 EHAMDND 00000029 09574395
01 FC:101 -690.00 OP
02 FC:103 -54.00 OP
03 FC:105 -130.00 OP

10/12/2000 NYUSUF1 00000026 122475 09574395
710.00 OP
130.00 OP
34.00 OP
20.00 CH

01 FC:101
02 FC:103
03 FC:105

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

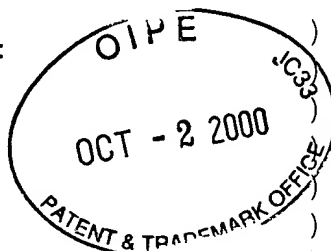
In re the Application of:

JEFF COOK et al.

Serial No.: 09/574,395

Filed: May 19, 2000

For: METHOD AND SYSTEM FOR
MANAGEMENT AND NOTIFICATION OF
ELECTRONIC CERTIFICATE CHANGES



Group Art Unit: 2766

Examiner: unknown

TRANSMITTAL OF MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f), which was mailed by the Patent Office on July 25, 2000, enclosed are:

- ☒ Declaration
- ☒ Power of Attorney: ☒ Separate or ☐ Combined with Declaration
- ☒ Assignment of the invention to NETWORKS ASSOCIATES, INC., d/b/a NETWORK ASSOCIATES, INC. (together with PTO Form 1595)

QC-63806.1

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

September 25, 2000
Date of Deposit

Karen Johnson
Karen Johnson

- ☐ A Verified Statement to establish "Small Entity Status" under 37 CFR §§ 1.9 and 1.27
- ☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)

II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- ☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$190.00	\$380.00
<input type="checkbox"/> three months	\$435.00	\$870.00
<input type="checkbox"/> four months	\$680.00	\$1,360.00
<input type="checkbox"/> five months	\$925.00	\$1,850.00

Fee

- ☐ If any extension fee is required, please consider this a petition therefor.

III. FILING FEES

BASIC FILING FEE:							\$690.00
Total Claims	23	-	20	=	3	x \$18.00	\$54.00
Independent Claims	2	-	3	=	0	x \$78.00	\$0.00
Multiple Dependent Claims	\$260	(if applicable)				<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)				<input checked="" type="checkbox"/>	\$130.00
TOTAL OF ABOVE CALCULATIONS							\$874.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.							<input type="checkbox"/> \$0.00
Extension of Time (from above)							\$0.00
Assignment – \$40 (if applicable)							<input checked="" type="checkbox"/> \$40.00
TOTAL FEES SUBMITTED HEREWITH							\$914.00

IV. METHOD OF PAYMENT OF FEES:

- ☒ A check in the amount of \$914.00 is enclosed to cover the above fee(s).

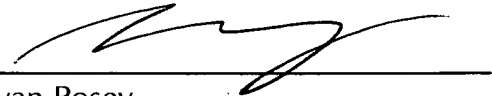
- ☐ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of ____.
- ☒ The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: Sept 25, 2000

By: _____


Ivan Posey
Reg. No. 43,865



22249

PATENT TRADEMARK OFFICE

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